

424 Rec

NO

18 JAN 2000

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER

54321.000013

U.S. APPLICATION NO. (If known, use 37 CFR 1.5)

097/462911

INTERNATIONAL APPLICATION NO.

PCT/DK98/00335

INTERNATIONAL FILING DATE

July 16, 1998

PRIORITY DATE CLAIMED

July 18, 1997/July 22, 1997

TITLE OF INVENTION

A composition comprising an enzyme having galactose oxidase activity and use thereof

APPLICANT(S) FOR DO/EO/US

Xavier Rouau, Mette Schroder and Jorn Borch Soe

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
 1. Copy of the PCT application document (Request)
 2. Copy of WIPO notification concerning priority document
 3. Copy of the published PCT application with International Search Report
 4. Copy of the PCT Chapter II Demand
 5. Copy of the first written opinion with response of 10 August 1999
 6. Copy of the International Preliminary Examination Report
 7. Copy of Notice informing the applicant of the communication of the international application to the designated offices
 8. Four (4) sheets of drawings
 9. Request to Examine Application on the Basis of the Text Amended Under Article 34 in the PCT

17. ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPOInternational preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO \$1070.00International preliminary examination fee (37 CFR 1.482) not paid to USPTO
but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$930.00International preliminary examination fee (37 CFR 1.482) paid to USPTO
but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$790.00International preliminary examination fee (37 CFR 1.482) paid to USPTO
and all claims satisfied provisions of PCT Article 33(1)-(4) \$720.00

ENTER APPROPRIATE BASIC FEE AMOUNT = \$98.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	80 - 20 =	60		
Independent claims	1 - 3 =	0	x \$ 18.00	\$ 1,080.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			x \$.78.00	\$ 0
			+ \$260.00	\$ 260.00
TOTAL OF ABOVE CALCULATIONS			=	\$ 2,270.00

Reduction of 1/2 for filing by small entity, if applicable. A Small Entity Statement
must also be filed (Note 37 CFR 1.9, 1.27, 1.28).Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f)).Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +

TOTAL FEES ENCLOSED = \$ 2,270.00

Amount to be
refunded: \$
charged: \$a. ☒ A check in the amount of \$ 2,270.00 to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 50-0206. A duplicate copy of this sheet is enclosed.NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR
1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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SIGNATURE

Stanislaus Aksman
NAME

28,562

REGISTRATION NUMBER

514 Rec'd

PTO

PATENT

Attorney Docket No. 54321.000013

09/462911
18 JAN 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Xavier ROUAU, *et al.*

Serial Number: NEW

Filed: January 18, 2000

Group Art Unit: Unassigned

Examiner: Unassigned

For: A COMPOSITION COMPRISING AN ENZYME HAVING GALACTOSE
OXIDASE ACTIVITY AND USE THEREOF

Assistant Commissioner for Patents
Washington, D.C. 20231

**REQUEST TO EXAMINE APPLICATION ON THE BASIS OF THE
TEXT AMENDED UNDER ARTICLE 34 IN THE PCT**

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants request that the examination of the enclosed application be conducted on the basis of the text amended Under Article 34 of PCT. The amendments are enclosed with the International Preliminary Examination Report dated September 30, 1999, enclosed with the Form PTO-1390.

Respectfully submitted,

HUNTON & WILLIAMS

By:

Stanislaus Aksman
Registration No. 28,562

Dated: January 18, 2000

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